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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92213

Hiroki YAMAGUCHI

Appln. No.: 10/561,970

Group Art Unit: Unknown

Confirmation No.: 1636

Examiner: Unknown

Filed: December 22, 2005

For: THIAZOLIMINE COMPOUND AND OXAZOLIMINE COMPOUND

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

#### **MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent No. 6,919,361 B2 issued July 19, 2005, to Fujiwara et al. This application corresponds to WO 02/02542 A1 which was cited in the ISR.
2. WO 02/02542 A1 published January 10, 2002, to Sumitomo Pharmaceuticals Co., Ltd. This reference was cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.
3. JP 2001-206891 A published July 31, 2001, to Otsuka Seiyaku Kobyō KK with English Abstract. This reference was cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.
4. JP 2001-2665 A published January 9, 2001, to Taisho Pharm. Co., Ltd. with English Abstract. This reference was cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.

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5. JP 2001-2664 A published January 9, 2001, to Taisho Pharm. Co., Ltd. with English Abstract. This reference was cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.
6. JP 2003-192591 A published July 9, 2003, to Sumitomo Seiyaku KK with English Abstract. This reference was cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.
7. JP 2003-292485 A published October 15, 2003, to Soyaku Gi Jutsu Kenkyusho KK with English Abstract. This reference cited in the ISR and was previously identified on an PTO/SB/08 A & B filed 12/22/2005.
8. WO 00/03997 published January 27, 2000, to Teijin Limited with English Abstract.
9. U.S. Patent Application Publication No. 2005/026748 A1 published December 1, 2005, to Tsuchiya et al. This application corresponds to WO 00/03997.
10. WO 99/41277 published August 19, 1999, to Nippon Kayaku Kabushiki Kaisha with English Abstract.
11. U.S. Patent No. 6,300,337 B1 issued October 9, 2001, to Ishida et al. This patent corresponds to WO 99/41277.
12. WO 00/10982 published March 2, 2000, to Suntory Limited with English Abstract.
13. U.S. Patent No. 6,867,214 B1 issued March 15, 2005, to Fukami et al. This patent corresponds to W) 00/10982.
14. WO 92/15564 published September 17, 1992, to Nippon Soda Co., Ltd. with English Abstract.
15. EP 0 639 569 A1 published February 22, 1995. This patent application corresponds to WO 92/15564.
16. WO 01/10853 A1 published February 15, 2001, to Bayer Aktiengesellschaft with English Abstract.

One copy of each of the listed documents is submitted herewith, except for the U.S. patents and U.S. patent publication.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.


The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	10/561,970
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Filing Date	December 22, 2005
First Named Inventor	Hiroki YAMAGUCHI
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	Q92213

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,919,361	B2	07-19-2005	Fujiwara et al.
		US 2005/0267148	A1	12-01-2005	Tsuchiya et al.
		US 6,300,337	B1	10-09-2001	Ishida et al.
		US 6,867,214	B1	03-15-2005	Fukami et al.
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	00/03997	A1	01-27-2000	Teijin Limited	
		WO	99/41277	A1	08-19-1999	Nippon Kayaku Kabushiki Kaisha	
		WO	00/10982	A1	03-02-2000	Suntory Limited	
		WO	92/15564	A1	09-17-1992	Nippon Soda Co., Ltd.	
		EP	0 639 569	A1	02-22-1995	Nippon Soda Co., Ltd.	
		WO	01/10853	A1	02-15-2001	Bayer Aktiengesellschaft	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.